

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John J. Harrington, et al.

Serial No.: 09/484,331

Filed: January 18, 2000

For: Compositions and Methods for Non-Targeted Activation of Endogenous Genes



Art Unit: 1632

Examiner: Not Assigned

Atty. Docket: 0221-0003L

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CONTINUED PROSECUTION APPLICATION

ASSISTANT COMMISSIONER FOR PATENTS  
Box CPA  
Washington, D.C. 20231

SIR: This is a request for filing a

[X] Continuation [ ] Divisional Application under 37 C.F.R. § 1.53(d) of pending prior application Serial No 09/484,331 filed January 18, 2000 for Compositions and Methods for Non-Targeted Activation of Endogenous Genes

1. [ ] Enter the unentered amendment previously filed on under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. [ ] This application is being filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
  - a. [ ] Delete the following inventor(s) named in the prior nonprovisional application:
    - b. [ ] The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
3. [ ] A new power of attorney or authorization of agent is enclosed.
4. [X] An Information Disclosure Statement (IDS) is enclosed:
  - a. [X] PTO-1449
  - b. [ ] Copies of IDS Citations

01/19/2001 CVORACHA 00000025 09484331

01 FC:231  
02 FC:299

355.00 OP  
130.00 OP

6. [X] The fee for this application is calculated as follows:

ENTITY			(Col. 1)	(Col. 2)	SMALL ENTITY		LARGE		
For	No. Filed	No. Extra			Rate	Fee	or	Rate	Fee
Basic Fee	\$710.00	0				\$355.00	or		\$710.00
Total Claims	12	0			x 9 =	0	or	x 18 =	\$0.00
Indep. Claims	2	0			x 39 =	0	or	x 78 =	\$0.00
<input checked="" type="checkbox"/> Multiple Dependent Claim Presented					+ 130 =	\$130.00	or	+ 260 =	\$0.00
					<b>Total</b>	<b>\$485.00</b>	or	<b>Total</b>	<b>\$</b>

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

7. [X] A check in the amount of \$ 485.00 to cover the filing fee is enclosed.

8. [ ] The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment during the pendency of this application to Deposit Account No. \_\_\_\_\_ including:

[ ] fees required under 37 C.F.R. § 1.16.

[ ] fees required under 37 C.F.R. § 1.17.

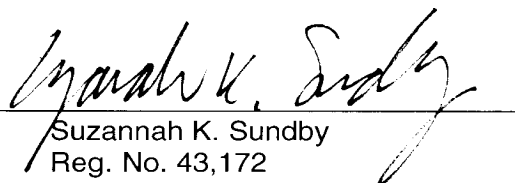
[ ] fees required under 37 C.F.R. § 1.18.

9. [X] Also enclosed is a Preliminary Amendment and Remarks under 37 C.F.R. § 1.111

**PETITION FOR EXTENSION.** If any extension of time is necessary for the filing of this application, including any extension in the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 50-0622.

Respectfully submitted,

SHANKS & HERBERT

  
Suzannah K. Sundby  
Reg. No. 43,172

Date: 01-18-01

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TECH CENTER 1600-2800

In re application of: John J. Harrington, et al.

Appl. No.: 09/484,331

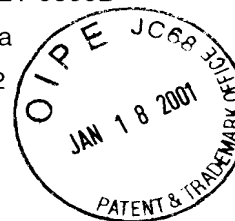
Filed: January 18, 2000

For: COMPOSITIONS AND METHODS FOR NON-TARGETED ACTIVATION OF ENDOGENOUS GENES

Atty. Docket No.: 0221-0003L

Examiner: R. Shukla

Group Art Unit: 1632



Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

For	No. Filed	No. Extra
Basic Fee	\$710.00	0
Total Claims	12	0
Indep. Claims	2	0
<input checked="" type="checkbox"/> Multiple Dependent Claim Presented		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

Rate	Fee
	\$355.00
x 9 =	0
x 39 =	0
+ 130 =	\$130.00
Total	\$485.00

Rate	Fee
	\$710.00
x 18 =	\$0.00
x 78 =	\$0.00
+ 260 =	\$0.00
Total	\$

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☒ A check in the amount of \$ 485.00 is enclosed.
- ☐ The U.S. Patent and Trademark Office is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 50-0622.
- ☐ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

SHANKS & HERBERT

Suzannah K. Sundby, Registration No. 43,172